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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barrett *et al.*

Serial No.: 10/723,273

Customer No.: 24961

Conf. No.: 8613

Filed: November 25, 2003

For: DELIVERY METHODS AND
DEVICES FOR IMPLANTABLE
BRONCHIAL ISOLATION DEVICES

Art Unit: 3738

Examiner: Unassigned

TRANSMITTAL LETTER

US Patent and Trademark Office
PO Box 1450

Alexandria, VA 22313-1450

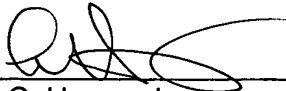
Sir:

Transmitted herewith are an Information Disclosure Statement and Forms PTO-1449 (11 pages) and cited references for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

[X] The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,
FISH & RICHARDSON P.C.

By:


Fred C. Hernandez
Registration No. 41,832

Attorney Docket No. 38349-0125
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Applicant: Barrett *et al.*

Serial No.: 10/723,273

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For: DELIVERY METHODS AND
DEVICES FOR IMPLANTABLE
BRONCHIAL ISOLATION DEVICES

Art Unit: 3738

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE
WITH 37 C.F.R. §§ 1.97-1.98**

PO Box 1450
Alexandria, VA 22313-1450

Sir:

Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§ 1.97-1.98 Forms PTO-1449 (11 pages) and copies of the cited documents are provided herewith.

USSN 10/723,273

Barrett et al.

INFORMATION DISCLOSURE STATEMENT

The documents listed on the Form PTO-1449 and supplied herewith are in the English. Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following related, co-pending U.S. applications and their status:

<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Status</u>	<u>Docket No.</u>
08/931,552	09/16/97	Issued	102
09/397,218	09/16/99	Issued	102B
10/071,620	02/08/02	Pending	102C
10/081,569	02/21/02	Pending	102D
10/419,653	04/18/03	Pending	102E
10/454,729	06/03/03	Pending	102F
09/519,735	03/04/00	Pending	103
09/797,910	03/02/01	Pending	103B
10/303,241	11/22/02	Pending	103C
10/303,240	11/22/02	Pending	103D
10/303,318	11/22/02	Pending	103E
10/419,654	04/18/03	Pending	103F
10/419,508	04/18/03	Pending	103G
10/630,473	07/29/03	Pending	103H
10/703,919	11/06/03	Pending	103I
10/687,081	10/15/03	Pending	103K
10/704,023	11/06/03	Pending	103L
10/298,387	11/14/02	Pending	107
10/270,792	10/10/01	Pending	108
10/384,899	03/06/03	Pending	116
10/454,729	05/28/03	Pending	119
10/448,019	05/28/03	Pending	119B
10/448,153	05/28/03	Pending	119C
10/627,517	07/25/03	Pending	0120
10/645,473	08/20/03	Pending	0121
10/275,995	04/07/03	Pending	0122US
10/627,941	07/25/03	Pending	0123

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Barrett et al.
INFORMATION DISCLOSURE STATEMENT

<u>INT'L</u>	<u>Filing Date</u>	<u>Status</u>	<u>Docket No.</u>
2001243416	03/02/01	Pending	103AU
2,401,331	03/02/01	Pending	103CA
01916386.4	03/02/01	Pending	103EP
2001564839	03/02/01	Pending	103JP
WO 01/66190	03/02/01	Issued	103PC
PCT/US02/32853	10/10/02	Pending	104PC
PCT/US02/36863	11/14/02	Pending	107PC
PCT/US03/07230	03/06/02	Pending	116PC
PCT/US03/16999	05/28/03	Pending	119PC
Awaiting	07/25/03	Pending	0120PC
Awaiting	08/20/03	Pending	0121PC
2001260840	05/18/01	Pending	0122AU
2,408,923	05/18/01	Pending	0122CA
01934679	05/18/01	Pending	0122EP
02102072.4	05/18/01	Pending	0122HK
2001-583641	05/18/01	Pending	0122JP
PCT/NZ01/00092	05/19/01	Pending	0122PC
PCT/US03/23295	07/25/03	Pending	0123PC

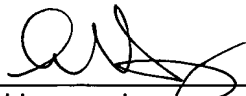
Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

USSN 10/723,273
Barrett et al.
INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.


Respectfully submitted,
FISH & RICHARDSON P.C.

By:



Fred C. Hernandez
Registration No. 41,832

Attorney Docket No. 38349-0125
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98  FORM PTO-1449 Sheet 1 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: 8613
	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: 3738	

U.S. PATENT DOCUMENTS						
† EX'R INITIAL	REF. #	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	*A	2003/0018327 (A1)	01/23/03	Truckai <i>et al.</i>	606/32	07/18/02
	*B	2003/0018344 (A1)	01/23/03	Kaji <i>et al.</i>	606/130	07/19/02
	*C	2003/0050648 (A1)	03/13/03	Alferness <i>et al.</i>	606/108	09/11/01
	*D	2003/0083671(A1)	05/01/03	Rimbaugh <i>et al.</i>	606/108	10/25/01
	*E	2003/0181922 (A1)	09/25/03	Alferness	606/108	03/20/02
	*F	2003/0183235 (A1)	10/02/03	Rimbaugh <i>et al.</i>	128/207.15	04/17/03
	*G	2002/0138135 (A1)	09/26/02	Duerig <i>et al.</i>	623/1.24	03/21/01
	*H	2002/0112729 (A1)	08/22/02	DeVore <i>et al.</i>	128/207.15	02/21/01
	*I	2002/0111620 (A1)	08/15/02	Cooper <i>et al.</i>	606/41	09/04/01
	*J	2002/0111619 (A1)	08/14/02	Keast <i>et al.</i>	606/41	09/04/01
	*K	2002/0095209 (A1)	07/18/02	Zadno-Azizi <i>et al.</i>	623/1.24	02/08/02
	*L	2002/0087153 (A1)	07/04/02	Roschak <i>et al.</i>	606/27	09/04/01
	*M	2002/0077696 A1	06/20/02	Zadno-Azizi <i>et al.</i>	623/1.24	02/21/02
	*N	2002/0077593 (A1)	06/20/02	Perkins <i>et al.</i>	604/96.01	02/11/02
	*O	2002/0062120 (A1)	05/23/02	Perkins <i>et al.</i>	604/516	12/13/01
	*P	2002/0026233(A1)	02/28/02	Shaknovich	623/1.24	03/20/01
	*Q	2002/0007831(A1)	1/24/02	Davenport <i>et al.</i>	128/200.24	07/18/01
	*R	2001/0056274 (A1)	12/27/01	Perkins <i>et al.</i>	604/516	07/02/01
	*S	2001/0052344 (A1)	12/20/01	Doshi	128/207	06/14/01
	*T	2001/0051799 (A1)	12/13/01	Ingenito	604/516	04/20/01
	*U	2001/0041906 (A1)	11/15/01	Gonzalez	606/191	07/24/01
	*V	2001/0037808 A1	11/08/01	Deem <i>et al.</i>	128/200.24	03/02/01
	*W	2001/0025132 (A1)	09/27/01	Alferness <i>et al.</i>	600/37	02/09/01

EXAMINER'S SIGNATURE	DATE CONSIDERED
† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. <i>Include copy of this form in next communication to applicant.</i> * If an asterisk is placed beside the reference number, a copy is not provided. <div style="text-align: right;">3/11/04 11:27 AM (38349.0125)</div>	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 2 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: 8613
	APPLICANTS: Barrett <i>et al.</i>		CUSTOMER NO.: 24961
	FILING DATE: November 25, 2003		GROUP ART UNIT: 3738

U.S. PATENT DOCUMENTS						
	*X	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57
	*Y	3,657,744	04/25/72	Ersek	128/334	05/08/70
	*Z	3,788,327	01/29/74	Donowitz <i>et al.</i>	128/350	03/30/71
	*AA	3,874,388	04/01/75	King <i>et al.</i>	128/334	02/12/73
	*AB	4,014,318	03/29/77	Dockum <i>et al.</i>	128/1	05/22/75
	*AC	4,086,665	05/02/78	Poirier	623/1	12/16/76
	*B	4,212,463	07/15/80	Repinski <i>et al.</i>	273/418	02/17/78
	*AD	4,250,873	02/17/81	Bonnet	600/104	04/17/78
	*AE	4,302,854	12/01/81	Runge	3/1.7	06/04/80
	*AF	4,477,930	10/23/84	Totten <i>et al.</i>	3/1.5	09/28/82
	*AG	4,710,192	12/01/87	Liotta <i>et al.</i>	623/1	10/17/86
	*AH	4,732,152	03/22/88	Wallsten <i>et al.</i>	128/343	12/5/85
	*AI	4,759,758	07/26/88	Gabbay	623/2	12/07/84
	*AJ	4,795,449	01/03/89	Schneider <i>et al.</i>	604/329	08/04/86
	*AK	4,808,183	02/28/89	Panje	623/9	06/03/80
	*AL	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
	*AM	4,830,003	05/16/89	Wolff <i>et al.</i>	128/343	06/17/88
	*AN	4,832,680	05/23/89	Haber <i>et al.</i>	600/31	07/03/86
	*AO	4,846,836	07/11/89	Reich	623/11	10/03/88
	*AP	4,850,999	07/25/89	Planck	623/1	05/26/81
	*AQ	4,852,568	08/01/89	Kensey	128/325	12/28/87
	*AR	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
	*AS	4,934,999	06/19/90	Bader	600/29	07/28/88

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† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. <i>Include copy of this form in next communication to applicant.</i> * If an asterisk is placed beside the reference number, a copy is not provided.	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 3 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: 8613
	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: 3738	

U.S. PATENT DOCUMENTS						
	*AT	4,968,294	11/06/90	Salama	600/30	02/09/89
	*AU	5,061,274	10/29/91	Kensey	606/213	12/04/89
	*AV	5,116,360	05/26/92	Pinchuk <i>et al.</i>	623/1	12/27/90
	*AW	5,116,564	05/26/92	Jansen <i>et al.</i>	264/255	10/10/89
	*AX	5,123,919	06/23/92	Sauter <i>et al.</i>	623/2	06/23/92
	*AY	5,151,105	09/29/92	Kwan-Gett	623/1	10/07/91
	*AZ	5,161,524	11-92	Evans	128/203.15	
	*BA	5,306,234	04/26/94	Johnson	604/49	03/23/93
	*BB	5,352,240	10/04/94	Ross	623/2	05/31/89
	*BC	5,358,518	10/25/94	Camilli	623/2	01/25/93
	*BD	5,366,478	11/22/94	Brinkerhoff <i>et al.</i>	660/213	07/27/93
	*BE	5,382,261	01/17/95	Palmaz	606/158	09/01/92
	*BF	5,392,775	02/28/95	Adkins <i>et al.</i>	128/207.16	03/22/94
	*BG	5,409,019	04/25/95	Wilk	128/898	11/03/93
	*BH	5,411,507	05/02/95	Heckele	606/108	01/05/94
	*BI	5,411,552	05/02/95	Andersen <i>et al.</i>	623/2	06/14/94
	*BJ	5,413,599	05/09/95	Imachi <i>et al.</i>	623/2	12/13/93
	*BK	5,417,226	05/23/95	Juma	128/885	06/09/94
	*BL	5,445,626	08/29/95	Gigante	604/349	06/03/94
	*BM	5,486,154	01/23/96	Kelleher	600/104	06/08/93
	*BN	5,499,995	03/19/96	Teirstein	606/192	05/25/94
	*BO	5,500,014	03/19/96	Quijano <i>et al.</i>	623/2	05/09/96
	*BP	5,562,608	10/08/96	Sekins <i>et al.</i>	604/20	04/13/95

EXAMINER'S SIGNATURE	DATE CONSIDERED
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 4 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: 8613
	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: 3738	

U.S. PATENT DOCUMENTS						
	*BQ	5,645,565	07/08/97	Rudd <i>et al.</i>	606/213	06/13/93
	*BR	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
	*BS	5,662,713	09/02/97	Andersen <i>et al.</i>	623/12	09/14/95
	*BT	5,683,451	11/04/97	Lenker <i>et al.</i>	623/1	06/07/95
	*BU	5,697,968	12/16/97	Rogers <i>et al.</i>	623/1	08/10/95
	*BV	5,755,770	5/26/98	Ravenscroft	623/1	01/31/95
	*BW	5,800,339	09/01/98	Salama	600/29	05/02/97
	*BX	5,840,081	11/24/98	Andersen <i>et al.</i>	623/2	02/19/97
	*BY	5,851,232	12/22/98	Lois	623/1	3/13/97
	*BZ	5,855,587	01/05/99	Hyon <i>et al.</i>	606/188	08/22/96
	*CA	5,855,597	01/5/99	Jayaraman	623/1	05/07/97
	*CB	5,855,601	01/05/99	Bessler <i>et al.</i>	623/2	06/21/96
	*CC	5,944,738	08/31/99	Amplatz <i>et al.</i>	606/213	02/06/98
	*CD	5,947,997	09/07/99	Pavcnik <i>et al.</i>	606/213	06/30/97
	*CE	5,954,766	09/21/99	Zadno-Azizi <i>et al.</i>	623/2	09/16/97
	*CF	5,957,949	09/28/99	Leonhardt <i>et al.</i>	606/194	05/01/97
	*CG	5,976,174	11/02/99	Ruiz	606/213	12/15/97
	*CH	5,984,965	11/16/99	Knapp <i>et al.</i>	623/12	08/28/97
	*CI	6,007,575	12/28/99	Samuels	623/1	06/06/97
	*CJ	6,009,614	01/04/00	Morales	29/516	04/21/98
	*CK	6,020,380	02/01/00	Killian	514/570	11/25/98
	*CL	6,022,312	02/08/00	Chaussy <i>et al.</i>	600/29	02/20/98
	*CM	6,027,525	02/22/00	Suh <i>et al.</i>	623/1	05/23/97
	*CN	6,051,022	04/18/00	Cai <i>et al.</i>	623/2	12/30/98
EXAMINER'S SIGNATURE						DATE CONSIDERED
† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. <i>Include copy of this form in next communication to applicant.</i> * If an asterisk is placed beside the reference number, a copy is not provided.						
3/11/04 11:27 AM (38349.0125)						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 5 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: 8613
	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: 3738	

U.S. PATENT DOCUMENTS						
	*CO	6,068,635	05/30/00	Gianotti	606/108	06/04/98
	*CP	6,068,638	05/30/00	Makower	606/159	10/27/98
	*CQ	6,077,291	06/20/00	Das	606/213	11/26/96
	*CR	6,083,255	07/04/00	Laufer <i>et al.</i>	607/96	12/19/97
	*CS	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
	*CT	6,135,729	10/24/00	Aber	417,420	07/09/99
	*CU	6,135,991	10/24/00	Muni <i>et al.</i>	604/509	03/27/98
	*CV	6,141,855	11/07/00	Morales	29/516	04/28/98
	*CW	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99
	*CX	6,168,614 (B1)	01/02/01	Andersen <i>et al.</i>	623/1	02/20/98
	*CY	6,174,323 (B1)	01/16/01	Biggs <i>et al.</i>	606/232	06/05/98
	*CZ	6,183,520 (B1)	02/06/01	Pintauro <i>et al.</i>	623/23.64	11/10/98
	*DA	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98
	*DB	6,206,918 (B1)	03/27/01	Campbell <i>et al.</i>	623/2.32	05/12/99
	*DC	6,234,996 (B1)	05/22/01	Bagaoisan <i>et al.</i>	604/97.01	06/23/99
	*DD	6,240,615 (B1)	06/05/01	Kimes <i>et al.</i>	29/516	09/30/99
	*DE	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99
	*DF	6,258,100	07/11/01	Alferness <i>et al.</i>	606/108	10/10/00
	*DG	6,270,527 (B1)	08/07/01	Campbell <i>et al.</i>	623/2.18	10/16/98
	*DH	6,287,290 (B1)	09/11/01	Perkins <i>et al.</i>	604/516	07/02/99
	*DI	6,293,951 (B1)	09/25/01	Alferness <i>et al.</i>	606/108	08/24/99
	*DJ	6,302,893 (B1)	10/16/01	Limon <i>et al.</i>	606/108	06/19/00
	*DK	6,312,407 (B1)	11/06/01	Zadno-Azizi <i>et al.</i>	604/103.03	02/19/98
	*DL	6,325,777 (B1)	12/04/01	Zadno-Azizi <i>et al.</i>	604/97.01	10/01/99
	*DM	6,325,778 (B1)	12/04/01	Zadno-Azizi <i>et al.</i>	604/99.02	01/01/99

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3/11/04 11:27 AM (38349.0125)	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:
10/723,273

ATTY DKT NO.:
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CONFIRM NO.:

APPLICANTS:
Barrett *et al.*

CUSTOMER NO.:
24961

FILING DATE:
November 25, 2003

GROUP ART UNIT:

FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	REF. #	Patent Number	Date	Country	TRANSLATION (YES/NO)
	DZ	00/15149	03/23/00	PCT	
	EA	00/42950	07/27/00	PCT	
	EB	00/51510	09/08/00	PCT	
	EC	00/62699	10/26/00	PCT	
	ED	00/78386 (A1)	12/28/00	PCT	
	EE	00/78407 (A1)	12/28/00	PCT	
	EF	01/02042 (A1)	01/11/01	PCT	
	EG	01/03642 (A1)	01/18/01	PCT	
	EH	01/05334 (A1)	01/25/01	PCT	
	EI	01/10313 (A1)	02/15/01	PCT	
	EJ	01/10314 (A2)	02/15/01	PCT	
	EK	01/12104 (A1)	02/22/01	PCT	
	EL	01/13839 (A1)	03/01/01	PCT	
	EM	01/13908 (A2)	03/01/01	PCT	
	EN	01/28433 (A1)	04/26/01	EP	
	EO	01/45590 (A2)	06/28/01	PCT	
	EP	01/49213 (A2)	07/12/01	PCT	
	EQ	01/54585 (A1)	08/02/01	PCT	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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APPLICATION SERIAL NO.:

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	ER	01/54625 (A1)	08/02/01	PCT	
	ES	01/54685 (A1)	08/02/01	PCT	
	ET	01/66190 (A2)	09/13/01	PCT	
	EU	01/74271 (A1)	10/11/01	PCT	
	EV	01/87170 (A1)	11/22/01	PCT	
	EW	01/89366 (A2)	11/29/01	PCT	
	EX	01/95786 (A2)	12/20/01	PCT	
	EY	02/05884 (A2)	1/24/02	PCT	
	EZ	02/22072 (A2)	03/21/02	PCT	
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	FH	02/069823 (A2, A3)	09/12/02	PCT	
	FI	02/094087 (A1)	11/28/02	PCT	
	FJ	03/022124 (A2)	03/20/03	PCT	

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UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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Sheet 8 of 11

APPLICATION SERIAL NO.:
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	ES	01/54685 (A1)	08/02/01	PCT	
	ET	01/66190 (A2)	09/13/01	PCT	
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	EZ	02/22072 (A2)	03/21/02	PCT	
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	FB	02/34322 (A2)	05/02/02	PCT	
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3/10/04 4:19 PM (38349.0125G)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 9 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: ****
	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: ****	

FOREIGN PATENT DOCUMENTS					
	FK	03/079944 (A1)	10/02/03	PCT	
	FL	03/088912 (A2)	10/30/03	PCT	
	FM	0621 015 (A1)	10/26/94	EP	
	FN	0621 015 (B1)	10/26/94	EP	
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	FR	1 151 729 (A1)	11/07/01	EP	
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*	FU	852321	07/08/81	SU	X
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	FW	95/32018	11/30/95	PCT	
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	FZ	98/00840	01/08/98	PCT	
	GA	98/19633	05/14/98	PCT	
*	GB	98/39047	09/11/98	PCT	
	GC	98/44854 (A1)	10/15/98	PCT	

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* Did not receive

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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, § 1.97, and § 1.98

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Sheet 7 of 11

APPLICATION SERIAL NO.:
10/723,273

ATTY DKT NO.:
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CONFIRM NO.:

APPLICANTS:
Barrett *et al.*

CUSTOMER NO.:
24961

FILING DATE:
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FOREIGN PATENT DOCUMENTS

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*	EA	00/42950	07/27/00	PCT	
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*	EC	00/62699	10/26/00	PCT	
*	ED	00/78386 (A1)	12/28/00	PCT	
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*	EK	01/12104 (A1)	02/22/01	PCT	
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*	EM	01/13908 (A2)	03/01/01	PCT	
*	EN	01/28433 (A1)	04/26/01	EP	
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	EP	01/49213 (A2)	07/12/01	PCT	
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Sheet 9 of 11

APPLICATION SERIAL NO.:

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ATTY DKT NO.:

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CONFIRM NO.:

APPLICANTS:

Barrett *et al.*

CUSTOMER NO.:

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FILING DATE:

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FOREIGN PATENT DOCUMENTS

*	FK	03/079944 (A1)	10/02/03	PCT	
*	FL	03/088912 (A2)	10/30/03	PCT	
	FM	0621 015 (A1)	10/26/94	EP	
	FN	0621 015 (B1)	10/26/94	EP	
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	FP	1,593,651	09/23/90	SU	X
	FQ	1 078 601 (A2)	02/28/01	EP	
	FR	1 151 729 (A1)	11/07/01	EP	
	FS	2140211	10/27/99	RU	X
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	FX	96/34582	11/07/96	PCT	X+
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	FZ	98/00840	01/08/98	PCT	
	GA	98/19633	05/14/98	PCT	
Do have this	GB	98/39047	09/11/98	PCT	
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3/10/04 4:19 PM (38349.0125G)

* Did not receive

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 10 of 11	APPLICATION SERIAL NO.: 10/723,273	ATTY DKT NO.: 38349-0125	CONFIRM NO.: ****
	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: ****	

FOREIGN PATENT DOCUMENTS					
✓	GD	98/48706	11/5/98	PCT	
✓	GE	99/01076	01/14/99	PCT	
	GF	99/13801	03/25/99	PCT	
	GG	99/26692	06/03/99	PCT	
	GH	99/32040	07/01/99	PCT	
	GI	99/42059	08/26/99	PCT	
	GJ	99/42161	08/26/99	PCT	
	GK	99/64109 (A1)	12/16/99	PCT	

x English Language Derwent is enclosed.

x+ English Language Equivalent is enclosed.

OTHER DOCUMENTS		
† EX'R INITIAL	REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
	GL	Al Jishi <i>et al.</i> , "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." <i>J. of Pediatric Surgery</i> , 29:1545-1547, 1994
	GM	Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"
	GN	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for: "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"
	GO	Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"
	GP	Derwent# 007607249 WPI Acc. No: 1988-241181/198834 (citing Russian Application No. SU4026409, published February 21, 1986) Russian Patent No. SU 1371700

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	APPLICANTS: Barrett <i>et al.</i>	CUSTOMER NO.: 24961	
	FILING DATE: November 25, 2003	GROUP ART UNIT: ****	

		February 21, 1986), Russian Patent No. SU 1371700
	GQ	Derwent# 008650867 WPI Acc. No: 1991-154896/199121 (citing Russian Application No. SU4280143, published July 07, 1987), Russian Patent No. SU 1593651
	GR	Harris <i>et al.</i> , "The Experimental Production in Dogs of Emphysema with Associated Asthmatic Syndrome by Means of an Intratracheal Ball Valve", <i>J. Lab. Clin. Med.</i> , 9(iv):75-88, 1919
	GS	Lewis <i>et al.</i> , "Pulmonary Interstitial Emphysema: Selective Bronchial Occlusion with a Swan-Ganz Catheter", <i>Archives of Disease in Childhood</i> , 63:313-315, 1988
	GT	Mathew <i>et al.</i> , "Selective bronchial obstruction for treatment of bullous interstitial emphysema." <i>J. of Ped.</i> , 96:475-477, 1980
	GU	Okada <i>et al.</i> , "Emergent Bronchofiberoptic Bronchial Occlusion for Intractable Pneumothorax with Severe Emphysema", <i>The Jap. J. of Thor. And Cardio. Sur.</i> , 46:1078-1081, 1998
	GV	Puhakka <i>et al.</i> , "Acute Bronchial Obstruction: An Experimental Rabbit Model Study", <i>Int. J. of Pediatric Otorhinolaryngology</i> , 18:107-118, 1989
	GW	Snider <i>et al.</i> , <i>The Definition of Emphysema: Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, Am. Rev. Respir. Dis.</i> , 132:182-185, 1985
	GX	Woodring <i>et al.</i> , "Pneumothorax ex Vacuo", <i>CHEST</i> , 100:1102-1124, 1996

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